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10/040,326	01/03/2002	Fangli Hao	LAMIP132C1	3569

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EXAMINER
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ALEJANDRO MULERO, LUZ L

ART UNIT	PAPER NUMBER
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1763

DATE MAILED: 02/07/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

10/040,326

Applicant(s)

HAO ET AL.

Examiner

Luz L. Alejandro

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 23 November 2004.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 22, 23, 25-45, 47-55 and 60-65 is/are pending in the application.
- 4a) Of the above claim(s) 38, 40 and 62 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 22-23, 25-37, 39, 41-45, 47-55, 60-61, 63--65 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |                                                                                                                        |                                                                                         |
|------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                                                       | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____                                                |

## **DETAILED ACTION**

### ***Election/Restrictions***

Newly submitted claim 62 is directed to an invention that is independent or distinct from the invention originally claimed for the following reasons: the impedance matching layer is directed to a conductive or semi-conductive material while in originally filed claim 31 the impedance matching layer is directed to a dielectric material.

Since applicant has received an action on the merits for the originally presented invention, this invention has been constructively elected by original presentation for prosecution on the merits. Accordingly, claim 62 is withdrawn from consideration as being directed to a non-elected invention. See 37 CFR 1.142(b) and MPEP § 821.03.

### ***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 48-52 and 54 are rejected under 35 U.S.C. 102(b) as being anticipated by Ohmi et al., WO 98/39500.

Ohmi et al. shows the invention as claimed including a uniformity mechanism suitable for use in a process chamber within which a plasma is ignited and sustained for processing a substrate 108, the uniformity mechanism comprising: a first zone 101a configured to be disposed below a first region of the substrate, the first zone having a first impedance when energy is coupled therethrough (through, first component, electrode 101); a second zone (the outer periphery of zone 101a which is the part of electrode 101 that is not in contact with the substrate) configured to be disposed below a second region of the substrate (note that below means in a lower place than, therefore, as broadly claimed the part of electrode 101 that is not in contact with the substrate, the periphery of zone 101a, is considered to be below the substrate), the second zone having a second impedance when energy is coupled therethrough (second component, edge ring 103), the second impedance being different than the first impedance; and an impedance matching layer 104 having characteristics configured to adjust the second impedance, the characteristics including at least one of a thickness, a length, position, or a material property, and wherein the impedance matching layer is disposed below the second component (see, for example, figs. 1, 6A, 6B, 7B, 9, 26A-26I, and their descriptions). With respect to claim 54, note that the uniformity mechanism includes a third component 105 for generating an electric field.

Claims 22-23, 25-31, 48-55, 60-61 and 63-65 are rejected under 35 U.S.C. 102(b) as being anticipated by Masuda et al., U.S. Patent 6,171,438.

Masuda et al. shows the invention substantially as claimed including a pedestal for supporting a substrate W during plasma processing, the pedestal comprising: an electrode 130 configured generating an electric field; a chuck 131 disposed above the electrode, the chuck being configured for holding the substrate and having an outer periphery that is smaller than an outer periphery of the substrate (see fig. 2); an edge ring 133 disposed above the electrode, the edge ring being configured for shielding the electrode and the chuck, and including a first portion configured to be disposed between the electrode and the substrate when the substrate is held by the chuck (and configured to surround an outer edge of the chuck) and a second portion being configured to surround an outer edge of the substrate when the substrate is held by the chuck for processing whereby the edge ring cooperated with the chuck to form a recessed portion for accepting the substrate for processing (see fig. 2); and an impedance matching layer 132 disposed between the electrode and the edge ring, the impedance matching layer made of a dielectric material such as quartz. It should be noted that the impedance matching layer 132 will control the impedance between the electrode and the plasma and will reduce the variations of the electric field. For a complete description, see for example, figs. 1-2 and their descriptions).

Concerning claims 49, 53 and 54-55, note that the first component is the chuck, the second component is the edge ring, and the third component is the electrode.

With respect to claims 60-61 and 63, note that: a) the electrode is formed of a conductive material and the chuck, the edge ring and the impedance matching layer are made of dielectric material, b) the edge ring and the chuck can be made of the same

material such as alumina and the impedance matching layer can be made of a material having a larger dielectric constant such as SiC, and c) the impedance matching layer can be made of materials including the claimed materials, (see, for example, col. 8. lines 44-63). Concerning claim 64, note that fig. 1 of Masuda shows such limitation. Regarding claim 65, note that as broadly claimed the substrate region can be considered to be any region within the region of the lower electrode 130.

Claims 22-23, 25-31, 48-55, and 63-65 are rejected under 35 U.S.C. 102(b) as being anticipated by Wicker et al., U.S. Patent 6,129,808.

Wicker et al. shows the invention substantially as claimed including a pedestal for supporting a substrate 104 during plasma processing, the pedestal comprising: an electrode 108 configured generating an electric field; a chuck 106 disposed above the electrode, the chuck being configured for holding the substrate and having an outer periphery that is smaller than an outer periphery of the substrate (see fig. 2); an edge ring 114 disposed above the electrode, the edge ring being configured for shielding the electrode and the chuck, and including a first portion configured to be disposed between the electrode and the substrate when the substrate is held by the chuck (and configured to surround an outer edge of the chuck) and a second portion being configured to surround an outer edge of the substrate when the substrate is held by the chuck for processing whereby the edge ring cooperated with the chuck to form a recessed portion for accepting the substrate for processing (see fig. 1); and an impedance matching layer 112 disposed between the electrode and the edge ring, the impedance matching layer

made of a dielectric material such as SiC. It should be noted that the impedance matching layer 112 will control the impedance between the electrode and the plasma and will reduce the variations of the electric field. For a complete description, see for example, fig. 1 and its description).

Concerning claims 49, 53 and 54-55, note that the first component is the chuck, the second component is the edge ring, and the third component is the electrode.

With respect to claims and 63, note that the impedance matching layer can be made of materials including the claimed materials, (see, for example, col. 6. lines 16-23). Concerning claim 64, note that fig. 1 of Wicker shows such limitation. Regarding claim 65, note that as broadly claimed the substrate region can be considered to be any region within the region of the lower electrode.

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was

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not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claims 22-23, 25-37, 39, 41-43, 47-55 and 64-65 are rejected under 35 U.S.C. 103(a) as being unpatentable over Tamura et al., U.S. Patent 5,792,304 in view of Ohmi et al., WO 98/39500.

Tamura et al. shows the invention substantially as claimed including a pedestal for supporting a substrate 1 during plasma processing, the pedestal comprising: an electrode 2 configured generating an electric field (see, for example, fig. 9 and col. 14, line 44-52); a chuck disposed above the electrode, the chuck being configured for holding the substrate and having an outer periphery that is smaller than an outer periphery of the substrate (see, for example, fig. 9 and col. 14, line 44-52); an edge ring 36 disposed above the electrode, the edge ring being configured for shielding the electrode and the chuck, and including a first portion configured to be disposed between the electrode and the substrate when the substrate is held by the chuck (and configured to surround an outer edge of the chuck) and a second portion being configured to surround an outer edge of the substrate when the substrate is held by the chuck for processing whereby the edge ring cooperated with the chuck to form a recessed portion for accepting the substrate for processing. For a complete description, see for example, fig. 9 and its description).

Tamura et al. does not expressly disclose the claimed impedance matching layer disposed between the electrode and the edge ring. Ohmi et al. discloses an apparatus



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comprising a pedestal for supporting a substrate 108 during plasma processing, the pedestal including an impedance matching layer 104 disposed between an electrode 101 and an edge ring 103, the impedance matching layer made of a dielectric material and has characteristics configured for controlling an impedance between the electrode and a plasma in order to improve the processing uniformity across the surface of the substrate (see, for example, figs. 1, 6A, 6B, 7B, 9, 26A-26I, and their descriptions).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the pedestal of the Tamura et al. as to comprise an impedance matching layer disposed between the electrode and the edge ring, as taught by Ohmi et al., in order to improve the processing uniformity across the surface of the substrate and thereby optimizing the apparatus.

With respect to claims 23, 25, 27, the impedance matching layer of the apparatus of Tamura et al. modified by Ohmi et al., will reduce variations in the electric field, is arranged to control the impedance between the electrode and the plasma at the edge of the substrate, is configured to be disposed between the electrode and the substrate when the substrate is held by the chuck. Regarding claim 32, the chuck, edge ring and impedance matching layer are formed from a dielectric material, wherein the dielectric constant of the edge ring may be equal to the dielectric constant of the chuck (note that both the chuck and the edge ring can be made of  $\text{Al}_2\text{O}_3$ ), and wherein the dielectric constant of the impedance matching layer may be different than the dielectric constant of the edge ring and the chuck. Furthermore and with respect to claim 33, it should be noted that a first impedance produced through the chuck is different than a second

impedance produced through the edge ring, and wherein the impedance matching layer may be arranged to adjust the second impedance produce through the edge ring so that the second impedance is substantially equal to the first impedance produced through the chuck.

With respect to claim 34, note that the chuck is disposed in an inner region of the electrode, the edge ring is disposed above the outer region of the electrode and positioned next to a side of the chuck, and the impedance matching layer is disposed between the edge ring and the electrode and above the outer region of the electrode.

Regarding claims 36-37 and 41, note that the impedance matching layer of the apparatus of Tamura et al. modified by Ohmi et al., is bonded to both the edge ring and the electrode by screw 112, the electrode has an outer periphery that is greater than the outer periphery of the substrate when the substrate is disposed on the chuck for processing.

Regarding claims 49, 53 and 54-55, note in the pedestal of Tamura et al. modified by Ohmi et al., the first component is the chuck, the second component is the edge ring, and the third component is the electrode.

Concerning claim 64, note that the combination of Tamura et al. and Ohmi et al. will disclose such limitation. Regarding claim 65, note that as broadly claimed the substrate region can be considered to be any region within the region of the lower electrode.

Claims 44-45, 60-61 and 63 are rejected under 35 U.S.C. 103(a) as being unpatentable over Tamura et al., U.S. Patent 5,792,304 in view of Ohmi et al., WO 98/39500 as applied to claims 22-23, 25-37, 39, 41-43, 47-55 and 64-65 above, and further in view of Masuda et al., U.S. Patent 6,171,438.

Tamura et al. and Ohmi et al. are applied as above but do not expressly disclose a heat transfer system as claimed. Masuda et al. discloses a plasma processing apparatus comprising a pedestal including a heat transfer system for controlling the temperature of the substrate and the edge ring during processing, the heat transfer system including a first channel extending through the electrode to the interface between the chuck and the substrate, and a second channel extending through the electrode to the interface between the electrode and the edge ring, the heat transfer system being configured to provide a heat transfer medium through the channels, wherein the heat transfer is a helium gas (see fig. 2 and its description). Therefore, in view of this disclosure, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the apparatus of Tamura et al. modified by Ohmi et al. as to comprise the heat transfer system disclosed by Masuda et al. because this allows for effective and efficient temperature control of the substrate and the edge ring without incorporating a complicated mechanism.

Tamura et al. and Ohmi et al. are applied as above but do not expressly disclose the claimed limitation of claims 60-61 and 63. Masuda et al. discloses an apparatus comprising a pedestal having an electrode formed of a conductive material and the edge ring, the chuck and the impedance matching layer are made of a dielectric

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material (see, for example, col. 8. lines 44-63). Therefore, in view of this disclosure, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the apparatus of Tamura et al. modified by Ohmi et al. as to comprise an electrode, a chuck, an edge ring and the impedance matching layer made of the claimed material because such materials are known to be suitable material. Note that Masuda teaches that the edge ring and the chuck can be made of the same material such as alumina and the impedance matching layer can be made of a material having a larger dielectric constant such as SiC.

Claims 32-37, 39, 41-45, and 47 are rejected under 35 U.S.C. 103(a) as being unpatentable over Masuda et al., U.S. Patent 6,171,438.

Masuda et al. shows the invention substantially as claimed including a pedestal for supporting a substrate W during plasma processing, the pedestal comprising: an electrode 130 configured generating an electric field; a chuck 131 disposed above the electrode, the chuck being configured for holding the substrate and having an outer periphery that is smaller than an outer periphery of the substrate (see fig. 2); an edge ring 133 disposed above the electrode, the edge ring being configured for shielding the electrode and the chuck, and including a first portion configured to be disposed between the electrode and the substrate when the substrate is held by the chuck (and configured to surround an outer edge of the chuck) and a second portion being configured to surround an outer edge of the substrate when the substrate is held by the chuck for processing whereby the edge ring cooperated with the chuck to form a recessed portion

for accepting the substrate for processing (see fig. 2); and an impedance matching layer 132 disposed between the electrode and the edge ring, the impedance matching layer made of a dielectric material such as quartz. It should be noted that the impedance matching layer 132 will control the impedance between the electrode and the plasma and will reduce the variations of the electric field. Furthermore, note that the chuck is disposed in an inner region of the electrode, the edge ring is disposed above the outer region of the electrode and positioned next to a side of the chuck, and the impedance matching layer is disposed between the edge ring and the electrode and above the outer region of the electrode. For a complete description, see for example, figs. 1-2 and their descriptions).

Masuda et al. further discloses that the chuck, the edge ring and the impedance matching layer are made of a dielectric material, but does not expressly disclose that the dielectric constant of the edge ring is equal to the dielectric constant of the chuck, wherein the dielectric constant of the impedance matching layer is different than the dielectric constant of the edge ring and the chuck, and wherein the impedance matching layer is arranged to adjust an impedance produced through the edge ring so that it is substantially the same impedance as an impedance produced through the chuck. However, it would have been an obvious choice of design to one having ordinary skill in the art at the time the invention was made to choose the same or different materials for the chuck, the edge ring and the impedance matching layer based upon a variety of factors, including for example, the plasma resistance of the material, and such limitation

would not lend patentability to the instant invention absent the showing of unexpected results.

Regarding claims 36-37 and 41, note that the impedance matching layer is bonded to both the edge ring and the electrode, the electrode has an outer periphery that is greater than the outer periphery of the substrate when the substrate is disposed on the chuck for processing.

Regarding claims 44-45, note that Masuda et al. discloses such heat transfer system (see fig. 2 and its description).

Claims 32-37, 39, 41-43 and 47 are rejected under 35 U.S.C. 103(a) as being unpatentable over Wicker et al., U.S. Patent 6,129,808.

Wicker et al. shows the invention substantially as claimed including a pedestal for supporting a substrate 104 during plasma processing, the pedestal comprising: an electrode 108 configured generating an electric field; a chuck 106 disposed above the electrode, the chuck being configured for holding the substrate and having an outer periphery that is smaller than an outer periphery of the substrate (see fig. 2); an edge ring 114 disposed above the electrode, the edge ring being configured for shielding the electrode and the chuck, and including a first portion configured to be disposed between the electrode and the substrate when the substrate is held by the chuck (and configured to surround an outer edge of the chuck) and a second portion being configured to surround an outer edge of the substrate when the substrate is held by the chuck for processing whereby the edge ring cooperated with the chuck to form a recessed portion

for accepting the substrate for processing (see fig. 1); and an impedance matching layer 112 disposed between the electrode and the edge ring, the impedance matching layer made of a dielectric material such as SiC. It should be noted that the impedance matching layer 112 will control the impedance between the electrode and the plasma and will reduce the variations of the electric field. Furthermore, note that the chuck is disposed in an inner region of the electrode, the edge ring is disposed above the outer region of the electrode and positioned next to a side of the chuck, and the impedance matching layer is disposed between the edge ring and the electrode and above the outer region of the electrode. For a complete description, see for example, fig. 1 and its description).

Wicker et al. further discloses that the chuck, the edge ring and the impedance matching layer are made of a dielectric material, but does not expressly disclose that the dielectric constant of the edge ring is equal to the dielectric constant of the chuck, wherein the dielectric constant of the impedance matching layer is different than the dielectric constant of the edge ring and the chuck, and wherein the impedance matching layer is arranged to adjust an impedance produced through the edge ring so that it is substantially the same impedance as an impedance produced through the chuck. However, it would have been an obvious choice of design to one having ordinary skill in the art at the time the invention was made to choose the same or different materials for the chuck, the edge ring and the impedance matching layer based upon a variety of factors, including for example, the plasma resistance of the material, and such limitation

would not lend patentability to the instant invention absent the showing of unexpected results.

Regarding claims 36-37 and 41, note that the impedance matching layer is bonded to both the edge ring and the electrode, the electrode has an outer periphery that is greater than the outer periphery of the substrate when the substrate is disposed on the chuck for processing.

Claims 44-45 are rejected under 35 U.S.C. 103(a) as being unpatentable over Wicker et al., U.S. Patent 6,129,808 , as applied to claims 32-37, 39, 41-43 and 47 as above, and further in view of Masuda et al., U.S. Patent 6,171,438.

Wicker et al. is applied as above but does not expressly disclose a heat transfer system as claimed. Masuda et al. discloses a plasma processing apparatus comprising a pedestal including a heat transfer system for controlling the temperature of the substrate and the edge ring during processing, the heat transfer system including a first channel extending through the electrode to the interface between the chuck and the substrate, and a second channel extending through the electrode to the interface between the electrode and the edge ring, the heat transfer system being configured to provide a heat transfer medium through the channels, wherein the heat transfer is a helium gas (see fig. 2 and its description). Therefore, in view of this disclosure, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the apparatus of Wicker et al., as to comprise the heat transfer system disclosed by Masuda et al. because this allows for effective and efficient temperature



control of the substrate and the edge ring without incorporating a complicated mechanism.

Claims 60-61 are rejected under 35 U.S.C. 103(a) as being unpatentable over Wicker et al., U.S. Patent 6,129,808 , as applied to claims 22-23, 25-31, 48-55, and 63-65 as above, and further in view of Masuda et al., U.S. Patent 6,171,438.

Wicker et al. is applied as above and further discloses that the electrode is made of a conductive material and the edge ring and the impedance matching layer are made of a dielectric material (see col. 6, lines 15-23). However, the reference does not expressly disclose that the chuck is made of a dielectric material. Masuda et al. discloses an apparatus comprising a pedestal having an electrode formed of a conductive material, and having a chuck, an edge ring, and an impedance matching layer made of a dielectric material (see, for example, col. 8. lines 44-63). Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the apparatus of Wicker et al. as to comprise a chuck made of a dielectric material because such material is a suitable material for the chuck. With respect to claim 61, note that Masuda discloses that the edge ring and the chuck can be made of the same material such as alumina and the impedance matching layer can be made of a material having a larger dielectric constant such as SiC.

***Response to Arguments***

Applicant's arguments filed 8/20/04 have been fully considered but they are not persuasive.

Applicant argues that Ohmi, Masuda and Wicker do not teach or suggest regions having different impedances. The examiner respectfully disagrees because the impedances will be different since different materials are present in the different regions.

Furthermore, with respect to applicant's argument that Ohmi, Masuda and Wicker fails to disclose an impedance matching layer configured to adjust the second impedance such that the second impedance is substantially equal to the first impedance, it should be noted that such limitation is directed to a method limitation instead of an apparatus limitation, and since an apparatus is being claimed as the instant invention, the method teaching are not considered to be the matter at hand since a variety of methods can be performed in the apparatus. The apparatus of Ohmi, Masuda or Wicker are capable of including an impedance matching layer configured to adjust the second impedance such that the second impedance is substantially equal to the first impedance. Additionally, note that Ohmi discloses adjusting different characteristic of the impedance layer in order to adjust the impedance (see, for example, paragraph 0036).

With respect to Ohmi not disclosing claim 50, applicant is directed to fig. 26 wherein different configuration of the pedestal/substrate are shown, specifically applicant is directed to fig. 26(i).

Applicant contends that the rejections do not point out in detail the limitations of the claims. The examiner respectfully disagrees since the rejections contain detailed descriptions of the apparatus structures claimed in the instant invention.

Applicant argues that Masuda fails to teach or suggest an impedance matching layer. The examiner kindly disagrees since layer 132 in the Masuda apparatus can be considered an impedance matching layer because the layer 132 in Masuda is made of similar materials as the impedance matching layer of the instant invention. In addition, the fact that applicant has recognized another advantage which would flow naturally from following the suggestion of the prior art cannot be the basis for patentability when the differences would otherwise be obvious.

Regarding the argument that Masuda or Wicker provide no evidence that the impedance matching layer is bonded to the electrode or the edge ring, note that as broadly interpreted the impedance layer is bonded to the electrode and the edge ring since they are connected together and one of ordinary skill in the art would know that they would need to be bonded together because otherwise the components could fall apart or become unstable during processing. Additionally, it is noted that the features upon which applicant relies (i.e., bonding with a silicon elastomer) are not recited in the rejected claim(s). Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993).

Applicant argues that Wicker fails to teach or suggest an impedance matching layer. The examiner kindly disagrees since layer 112 in the Wicker apparatus can be

considered an impedance matching layer because the layer 112 is made of similar materials as the impedance matching layer of the instant invention. In addition, the fact that applicant has recognized another advantage which would flow naturally from following the suggestion of the prior art cannot be the basis for patentability when the differences would otherwise be obvious.

Regarding the argument that Tamura and Ohmi provide no evidence that the impedance matching layer is bonded to the electrode or the edge ring, note that as broadly interpreted the combination of the references suggests that the impedance layer is bonded to the electrode and the edge ring since they are connected together and one of ordinary skill in the art would know that they would need to be bonded together because otherwise the components could fall apart or become unstable during processing. Additionally, note that Ohmi clearly shows bonding (as broadly claimed), by means of screws, of the impedance matching layer with the edge ring and the electrode.

### ***Conclusion***

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not


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mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Luz L. Alejandro whose telephone number is 571-272-1430. The examiner can normally be reached on Monday to Thursday from 7:30 to 6:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gregory L. Mills can be reached on 571-272-1439. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

  
Luz L. Alejandro  
Primary Examiner  
Art Unit 1763

February 4, 2005